



INVESTMENT QUESTIONNAIRE

The purpose of these questions is to assist us in defining your investment goals by assessing your attitude toward risk and return.

This questionnaire deals with the assets you are considering investing in a new account and will be a helpful tool in determining your current investment profile. It will run through a short series of questions designed to outline your current financial objectives, your time horizon and the amount of risk you are willing and able to assume.

The answers to these questions will help us determine which strategy may be appropriate to help you achieve your financial goals.

1. What is the primary objective for these assets?

Wealth preservation
Source of Income
Capital Appreciation
Retirement planning/ Education funding/long term wealth accumulation

2. What is the time horizon you have to achieve your financial goal?

0-5 years
6-10 years
11-14 years
15 years or longer

3. What is your present age?

55 or Over
Between 45-54
Between 30-44
Less than 30

4. What is your monthly income (including interest income)?

N100,001 or more
Between N75,000 and N100,000
Between N30,000 and N74,999

Less than N30,000

5. During the next five years, your monthly income will most likely:

Decline

Remain about the same

Increase slightly

Increase significantly

6. What percentage of your total investable assets will this account represent?

Less than 25%

Between 25% and 50%

Between 51% and 75%

More than 75%

7. Are you planning any major expenditures greater than 10% of your investment assets?

Within the next year

Within the next 5 years

Within the next 5 to 10 years

None expected

8. How do you intend to use the income earned by your investment portfolio?

Reinvest at least 80% of my earnings

Reinvest between 20% and 80% of my earnings

Receive at least 80% of my earnings as income

9. Taking into consideration all sources of income, what is your current attitude towards your income needs:

I can forego at least 10% of my current income

Present income is adequate for present needs

I need at least 10% more income

10. How many months of living expenses could be safely covered by your current liquid investments?

More than 12 months

Between 4 and 12 months

Less than 4 months

11. Which of the following investments would you feel most comfortable with taking into consideration the risk return trade-off?

Equity securities of established companies
Mix of equity securities and government bonds
Government bonds

12. What factor would you consider most important before choosing an investment?

How quickly I will be able to increase my wealth.
The opportunity for steady growth.
The amount of monthly income the investment will generate.
The safety of my investment principal.

13. Which of the following best describes your reaction if the value of your portfolio suddenly declined 15%?

I would be very concerned because I cannot accept fluctuations in the value of my portfolio.
I invest for long term growth, but would be concerned about even a temporary decline.
If the amount of income I received was unaffected, it would not bother me.
I invest for long term growth and accept temporary fluctuations due to market influences.

14. When it comes to investing in stock or bond mutual funds (or individual stocks and bonds), I would describe myself as a/an...

Very inexperienced investor
Somewhat inexperienced investor
Somewhat experienced investor
Experienced investor
Very experienced investor

The Investor Questionnaire makes asset allocation suggestions based on the information you enter about your investment objectives and experience, time horizon, risk tolerance and financial situation. We would suggest that you provide us

with accurate information that will assist us in providing the best recommendations that will be suitable for your investment needs.

As your financial circumstances or goals change, it will be helpful to complete this questionnaire again and to reallocate the investments in your portfolio.

I have read the above terms and conditions and confirm that the information provided above is true and accurate as of the date signed.

Name

Signature

Date:

(OFFICE USE ONLY)

RECEIVED BY:..... DATE:.....

CLIENT ACCOUNT NUMBER:.....

COMMENTS:.....

RECOMMENDATIONS PROVIDED BY:.....

Suggested Asset & Sub Asset Allocation

Investment Objective

- 1.
- 2.
- 3.
- 4.

Suggested Mix (ie Stocks, Bonds,etc.)

- 1.
- 2.
- 3.

Sub Asset Allocation (for example 80% stocks, 20% bonds)

- 1.
- 2.
- 3.
- 4.

Suggested Fund (for example Retirement Saving Fund, Education Saving Fund etc.)

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.